# Serial No.:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UCHIDA ET AL.

Examiner:

UNKNOWN

10/699,937

Group Art Unit:

2655

Filed:

NOVEMBER 3, 2003

Docket:

10873.818USD1

Confirmation No.:

4352

Title:

OPTICAL DISK AND METHOD FOR PRODUCING THE SAME

#### **CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination's Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450 on April 222004.

Commissioner for Patents Office of Initial Patent Examination's Filing Receipt Corrections P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

Other: Request for Corrected Filing Receipt, Copy of Filing Receipt with Corrections Marked in Red

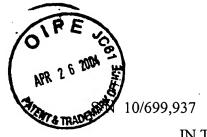
Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or of edit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Douglas P. Mueller

Reg. No.: 30,300 DMueller:PLSklg



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

UCHIDA ET AL.

Examiner:

UNKNOWN

Serial No.:

10/699,937

Group Art Unit:

2655

Filed:

NOVEMBER 3, 2003

Docket No.:

10873.818USD1

Title:

OPTICAL DISK AND METHOD FOR PRODUCING THE SAME

**CERTIFICATE UNDER 37 CFR 1.8:** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination's Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450 on April 22, 2004.

By: Name: KATE GANNON

### REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination's
Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

23552

PATENT TRADEMARK OFFICE

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed: The Assignee information is incorrect.

The correction should read: MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, MN 55402-0903

(612) 332-5300

Date: 122, 200

Douglas P. Mueller

Reg. No. 30,300

DPM:PLSklg



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Yinginia 22313-1450 www.usplusgov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO IND CLMS DRAWINGS TOT CLMS (c) DATE 10/699,937**/** 11/03/2003**/** 2655 770 V 10873.818USD1 / 3 10 V 18×

**CONFIRMATION NO. 4352** 

23552 **MERCHANT & GOULD PC** P.O. BOX 2903 MINNEAPOLIS, MN 55402-0903

**FILING RECEIPT** 

\*OC000000011835225\*

Date Mailed: 02/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Kiyoshi Uchida, Kitakatsuragi-gun, JAPAN; 🗸 Yasumori Hino, Ikoma-shi, JAPAN; 🗸 Motoyoshi Murakami, Hirakata-shi, JAPAN; 🗸 Norio Miyatake, Kobe-shi, JAPAN; ~

**Assignment For Published Patent Application** 

MATSUSHITA ELECTRIC INDUSTRIAL CO. Kadoma-shi, JAPAN;

Domestic Priority data as claimed by applicant

This application is a DIV of 10/038,189 10/24/2001

Foreign Applications

JAPAN 2000-332051 10/31/2000 V JAPAN 2001-121238 04/19/2001 V

If Required, Foreign Filing License Granted: 02/04/2004

Projected Publication Date: 05/13/2004

Non-Publication Request: No

Early Publication Request: No



Title

Optical disk and method for producing the same

**Preliminary Class** 

369

## LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).